# UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))

2343-179-27 Attorney Docket No.

First Inventor or Application Identifier MARK L. LAWRENCE

USE OF NOVEL VIRULENCE-SPECIFIC GENES AS TARGETS FOR DIAGNOSIS AND POTENTIAL CONTROL OF VIRULENT STRAINS OF LISTERIA MONOCYTOGENES Title

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application PO Box 1450 Alexandria, VA 22313-1450			
Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing)	ACCOMPANYING DOCUMENTS			
Applicant claims small entity status.	6. □ Assignment Papers (cover sheet & document(s))			
2. ■ Specification Total Pages 40	7.   37 C.F.R. §3.73(b) Statement  Power of Attorney (when there is an assignee)			
	8. □ English Translation Document (if applicable)			
3. ■ Drawing(s) (35 U.S.C. 113) Total Sheets 8	9.   Information Disclosure  Statement (IDS)/PTO-1449  Citations			
	10. □ Preliminary Amendment			
4. □ Oath or Declaration Total Pages	11. ■ White Advance Serial No. Postcard			
<ul> <li>a. □ Newly executed (original or copy)</li> <li>b. □ Copy from a prior application (37 C.F.R. §1.63(d)) (for continuation/divisional with box 16 completed)</li> </ul>	12.  □ Certified Copy of Priority Document(s) (if foreign priority is claimed)			
(for continuation/divisional with box 16 completed)	13. ■ Request for Priority			
5. Incorporation By Reference (usable if box 4B is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4B, is considered to be part	14. ■ List of Inventors' Names and Addresses			
oath or declaration is supplied under Box 4B, is considered to be part of the disclosure of the accompanying application and is hereby Ancorporated by reference therein.	15. □ Other:			
16. If a CONTINUING APPLICATION, check appropriate box, ar	nd supply the requisite information below:			
☐,5 Continuation ☐ Divisional ☐ Continuation	-in-part (CIP) of prior application no.:			
Prior application information: Examiner:	Group Art Unit:			
17. Amend the specification by inserting before the first line the s				
☐ This application is a ☐ Continuation ☐ Division of application Serial No. Filed on	□ Continuation-in-part (CIP)			
This application claims priority of provisional application Seria ■	al No. 60/444,201, filed February 3, 2003; 60/447,297, filed February 14, 2003; and 60/458,414, filed March 31, 2003.			
18. CORRESPONDENCE ADDRESS				
Supervisor, Patent Prosecution Services PIPER RUDNICK LLP 1200 Nineteenth Street, N.W. Washington, D.C. 20036-2412				
Telephone No. (202) 861-3900 Facsimile No. (202) 223-2085				

Name Steven B. Kelber	Registration No.		30,073		
Signature	Date	Jan 30	, 2004	Telephone	202-861-3900
Name Perry E. Wan Over	Registration No.		42,197		

2343-179-27 Docket No. **NEW APPLICATION** Serial No. Filing Date HEREWITH FEE TRANSMITTAL MARK L. LAWARENCE Inventor(s) O Group Art Unit UNASSIGNED TOTAL AMOUNT OF PAYMENT <u>Examiner</u> **UNASSIGNED** \$879.00 FEE CALCULATION (continued) Applicant claims small entity status. Charge any **UNDERPAYMENT** or credit any **OVERPAYMENT** 3. ADDITIONAL FEES in the indicated fees to Deposit Account No. 50-1442. Fee Description Small Entity Large Entity Charge the indicated fees to Deposit Account No. 50-1442. Fee Paid Check enclosed. 2. (\$) Code Surcharge-late filing fee 1051 130 2051 65 65.00 **FEE CALCULATION** Surcharge-late provisional filing fee or 1052 2052 **BASIC FILING FEE** cover sheet 1053 Non-English Large Entity Small Entity Fee Description 1053 130 130 1251 110 2251 55 1-mo, ext, of time Fee Paid Fee Code (\$) (\$) 2-mo. ext. of time 385 Utility filing fee 385.00 1252 420 1001 770 2001 170 1253 950 2253 475 3-mo. ext. of time 2002 Design filing fee 1002 340 4-mo, ext, of time 1254 1480 2254 740 1003 520 2003 260 Plant filing fee 1255 2010 2255 1005 5-mo. ext. of time 770 385 Reissue filing fee 1004 2004 Notice of Appeal 165 2005 80 Provisional filing fee 1401 330 2401 1005 160 Appeal Brief 330 2402 165 \$385.00 1402 SUBTOTAL (1) Request for Oral Hearing 290 2403 145 **EXTRA CLAIM FEES** 1330 2501 Utility/Reissue Issue 1501 20\* 19 \$9 = 171.00 tot, claims X 2502 240 Design Issue Fee 258.00 1502 480 3\* 6 \$43 9 ind, claims 1504 300 Publication Fee 1504 300 Multiple Dependent Claims \$145 Fee Description 8001 3 8001 3 Advance Copy of Patent Large Entity Small Entity 130 1460 Petitions to the 1460 Commissioner Code (\$) Code (\$) 1806 180 **IDS Submission** 2202 9 Claims in excess of 20 1806 180 1202 18 Assignment recordation 8021 40 40 8021 1201 86 2201 43 Independent claims in excess of 3 770 2801 385 For Filing RCE 1801 145 1203 290 2203 Multiple dependent claim, if not paid Terminal Disclaimer 55 1814 110 2814 43 \*Reissue independent claims over original patent 1204 84 2204 \*Reissue claims in excess of 20 and over original OTHER (indicate below): 2205 1205 patent SUBTOTAL (2) \$429.00 SUBTOTAL (3) \$65.00 \* or number previously paid, if greater; For Reissues, see above

Name	Steven B. Kelber	Registration No.		30,073		
Signature	A5/11	Date	do	un 30, 2004	Telephone	202-861-3900
Name	Perry E. Van Over			Registration No.	42,197	
	<del></del>	$\overline{}$				

DOCKET NO.: 2343-179-27

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: MARK L. LAWRENCE, ET AL.

SERIAL NO:

**NEW APPLICATION** 

FILED:

HEREWITH

FOR:

USE OF NOVEL VIRULENCE-SPECIFIC GENES AS TARGETS FOR DIAGNOSIS AND POTENTIAL

CONTROL OF VIRULENT STRAINS OF LISTERIA MONOCYTOGENES

## REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

#### SIR:

- □ Full benefit of the filing date of U.S. Application Serial Number [US App No], filed [US App Dt], is claimed pursuant to the provisions of 35 U.S.C. §120.
- Full benefit of the filing date of U.S. Provisional Application Serial Number 60/444,201, filed February 3, 2003; U.S. Provisional Application Serial Number 60/447,297, filed February 14, 2003; and U.S. Provisional Application Serial Number 60/458,414, filed March 31, 2003 is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☐ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the applicants claim as priority:

#### **COUNTRY**

#### **APPLICATION NUMBER**

MONTH/DAY/YEAR

Certifie	ed copies of the corresponding Convention Application(s)
	are submitted herewith

- □ will be submitted prior to payment of the Final Fee
- □ were filed in prior application Serial No. filed
- □ were submitted to the International Bureau in PCT Application Number
- ☐ (A) Application Serial No.(s) were filed in prior application Serial No. filed ; and
  - (B) Application Serial No.(s)
    - are submitted herewith
    - will be submitted prior to payment of the Final Fee

Respectfully submitted,

PIPER RUDNICK LLP

Steven B. Kelber

Registration No.:

30,073

Perry E. Van Over

Registration No.:

42,197

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DOCKET NO. 2343-179-27

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: MARK L. LAWRENCE, ET AL.

SERIAL NO.: NEW APPLICATION

FILING DATE: HEREWITH

FOR: USE OF NOVEL VIRULENCE-SPECIFIC GENES AS TARGETS FOR

DIAGNOSIS AND POTENTIAL CONTROL OF VIRULENT STRAINS OF

LISTERIA MONOCYTOGENES

# **LIST OF INVENTORS' NAMES AND ADDRESSES**

ASSISTANT COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

SIR:

Listed below are the names and addresses of the inventors for the above-identified patent application.

- 1) Mark L. LAWRENCE 201 G.T. Thames Drive Starkville, MS 39759
- Dongyou LIU45 Morgan AvenueStarkville, MS 39759

- 3) A. Jerald AINSWORTH 105 N. Primrose Starkville, MS 39759
- 4) Frank W. AUSTIN 2595 Silver Ridge Road Starkville, MS 39759

A declaration containing all the necessary information will be submitted at a later date.

Respectfully submitted,

PIP<del>ER RU</del>DNICK LLP

Steven B. Kelber

Registration No. 30,073 Attorney of Record

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